

SEC v. STOKER
11 Civ. 07388 (JSR)
INDEX TO EXHIBITS TO STATEMENT OF UNDISPUTED FACTS AND MOTION
FOR PARTIAL JUDGMENT

Exhibit No.	Exhibit Description	Bates No.
1	Excerpts Samir Bhatt Investigative Testimony	
2	Excerpts Gene Deetz Deposition	
3	Excerpts Nestor Dominguez Deposition	
4	Excerpts Greenwald Deposition	
5	Excerpts Darius Grant Deposition	
6	Excerpts Muhammed Sohail Khan Investigative Testimony	
7	Excerpts Robert MacLavery Deposition	
8	Excerpts Shalabh Mehrish Deposition	
9	Excerpts Robert Pinniger Investigative Testimony	
10	Excerpts Donald Quintin Investigative Testimony	
11	Excerpts Donald Quintin Deposition	
12	David Salz Investigative Testimony	
13	Excerpts Michael Shackelford Investigative Testimony	
14	Excerpts Brian Stoker Investigative Testimony	
15	Excerpts Brian Stoker Deposition	
16	Brian Stoker Answer	
17	Brian Stoker Complaint	
18	Expert Report Dr. Dwight Jaffee Report	
19	Expert Report Robert MacLavery Report	
20	Robert MacLavery Rebuttal Report	
21	Expert Report Dr. Jonathan Neuberger	
22	September 6, 2006 Citigroup publication entitled "The CDO of CDOs Handbook"	CITI 30001404 - 25 at 12
23	E-mail chain dated September 13, 2006	CITI 19336622-23
24	E-mail chain dated September 19, 2006	CITI 20423848
25	E-mail chain dated September 29, 2006	CITI 19405310-12
26	E-mail chain dated October 3, 2006	CITI 19410514
27	E-mail chain dated October 13, 2006	CITI 19435294
28	E-mail dated October 19, 2006	CITI 29977987
29	Email dated October 23, 2006	CITI 18122071
30	Email dated October 25, 2006	CITI 18130043
31	Email dated October 26, 2006	CITI 18132791-92
32	E-mail dated October 26, 2006	DGT00012442
33	E-mail dated October 27, 2006	CITI 19476072
34	E-mail dated October 31, 2006	CITI 20668084

35	Email dated November 1, 2006	CITI 14250375-376
36	Email dated November 1, 2006	CITI 18143140
37	Email dated November 2, 2006	CITI 18144241-242
38	Email dated November 3, 2006	CITI 15898475-476
39	Email dated November 14, 2006	CITI 15952054-055
40	E-mail dated November 19, 2007	AMB-V_00030027-28
41	Email dated November 22, 2006,	CITI 18178869-881
42	E-mail chain dated December 8, 2006	CITI 20837238
43	E-mail chain dated December 12, 2006	CITI 20845582
44	E-mail chain dated December 14, 2006	CITI 14960157-58
45	December 20, 2006 Citigroup Publication entitled "CDO Outlook 2007"	CITI 15819698 - 764
46	Email dated December 21, 2006	CITI 18233944-946
47	E-mail chain dated January 5, 2007	CITI 19659855
48	Email dated January 8, 2007	CITI 20897133-136
49	Email dated January 8, 2007	CS CLV 1223251-52
50	Email dated January 8, 2007	CITI 14219307-308
51	E-mail dated January 8, 2007	CITI 18249474
52	Email dated January 8, 2007	CITI 14219307-308
53	E-mail chain dated January 11, 2007	CITI 14370694
54	E-mail dated January 16, 2007	CITI18277265
55	Email dated January 24, 2007	CITI 20990339-340
56	E-mail dated January 24, 2007	CITI 15905929
57	January 26, 2007 Citigroup publication entitled "MBS and Real Estate ABS"	CITI 30061810-44 at 30061811
58	E-mail dated January 29, 2007	CITI 26045348
59	E-mail dated February 5, 2007	CITI 30085215
60	E-mail dated February 6, 2007	CITI 15907878 - 79
61	E-mail dated February 8, 2007	CITI 30081055 - 56
62	E-mail chain dated February 8, 2007	CITI 21057117

63	E-mail dated February 12, 2007	CITI 18388311
64	E-mail dated February 12, 2007	STO 0003161-62
65	E-mail chain dated February 12, 2007	CITI 15816668 - 69
66	E-mail dated February 12, 2007	CITI 17125482
67	E-mail dated February 13, 2007	CITI 22306532 - 34
68	E-mail dated February 14, 2007	CITI 18416633 - 669
69	E-mail dated February 14, 2007	CITI 18416633 - 669 at 651 - 652
70	E-mail chain dated February 15, 2007	CITI 12081411-12
71	E-mail chain dated February 20, 2007	CITI-STOKER-STIP 00000121 - 123
72	E-mail chain dated February 26, 2007	CITI 29929347
73	E-mail dated February 28, 2007	CITI 00204621-25
74	E-mail dated March 1, 2007	CITI 15019692
75	E-mail dated March 2, 2007	CITI 14185340
76	E-mail chain dated March 13, 2007	CITI 18514856-57
77	E-mail chain dated April 5, 2007	MG-Stoker 00001893-95
78	Email dated June 27, 2007	CITI 15025665-676
79	June 28, 2007 letter from BNP Paribas to Ambac Credit Products LLC re: "Credit Derivative Transaction (Class V Funding III, Ltd., Class A1 floating Rate notes due 2052)	AMB-V_00501177 - 87
80	E-mail dated July 17, 2007	STO 0003929 - 3932
81	Ambac Underwriting Memo for Class V Funding III	AMB-V_00502535-580
82	Printout of native file of spreadsheet titled "Copy of Credit Suisse Alternative Investments Class V Funding III - TRADE LOG 3_6_07.xls"	CS CLV 1745804-6125
83	Class V Funding Offering Circular	CITI 09572290 - 500
84	Class V Funding III flip book	CITI 09570331-394
85	Class V Funding III Offering Memorandum	AMB-

		V_00045145-353
86	Memo from Salz to Gandolfo re: "Class V III - Surveillance Update"	AMB-V_00058925 - 35
87	AMBAC asset spreadsheet (losses on Class V III)	AMB-V_00435757
88	AMBAC memo re: "Securitization Senior Credit Committee Meeting"	AMB-V_00502581 - 624
89	Citigroup deals spreadsheet	CITI 01973034 - 36, 01973067 - 69 at 01973067
90	Citigroup Global markets Structured Products Group Spreadsheet	CITI 02057338 - 341, 02057946 - 949 at 2057946
91	Class V Funding III, LTD Offering Circular	CITI 09572290 - 500
92	Mortgage Market Snapshot	CITI 01425668
93	Class V Funding III, Ltd. Tranche Spreadsheet	CITI 22179908 - 11
94	1006 Summary - Non-Naked Shorts	CITI 10619469
95	Class V III Funding Ltd. Term Sheet	CITI 30054163-64
96	1006 Summary – Naked Shorts	CITI 10619469
97	Class V Funding Offering Circular with edits	AMB-V_00077604-818 at 692
98	E-mail dated February 22, 2007	AMB-V_00081773-74
99	E-mail dated February 29, 2007 with 11 page attachment (printout of native file)	CITI 21176965
100	E-mail chain dated February 15, 2007	CITI 10083150-51
101	E-mail dated February 14, 2007	CITI 12081353-54
102	E-mail chain dated October 23, 2006	CITI 19465988
103	E-mail chain dated February 14, 2007	CITI 12081332-33
104	E-mail chain dated January 11, 2007	CITI 14370694
105	888 Tactical Fund Ltd.	CITI 02400494